

# **Computer- and Human-Directed Speech Before and After Correction**

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# Abstract

Speech register research shows that humans are adept at finetuning components of their speech to accommodate the needs audience of the audience, suggesting that they have a model of human communication needs. However, when that audience is a computer rather than another human, such a model may be invalid. Here we examine humans' speech to other humans or an auditory-visual avatar before and after the computer or the human listener makes a listening "error". Speech is found to be hyperarticulated in Computer- compared with Human-Directed speech, and also in speech after correction. Results are discussed in terms of human-computer interaction and ASR systems.

**Index Terms**: computer-directed speech, speech repairs, vowel hyperarticulation, human-computer interaction.

# 1. Introduction

There are two distinct literatures concerning speech registers and speech adaptations for an audience: that concerning human-human interaction (HHI) and that concerning humancomputer interaction (HCI) and each have particular methods of enquiry and dependent measures by which outcomes are evaluated. In this study we adopt elements of both. Relevant literature from each is provided below ahead of a synthesis and exposition of hypotheses.

# 1.1. Speech in Special Speech Registers

One of the most studied special speech registers is Infant-Directed Speech (Infant-DS), a style of speech that, compared to Adult-DS, has heightened fundamental frequency (F0) and wider F0 excursions, more repetitions, longer pauses and shorter utterances [1,2,3,4]. Research on Infant-DS has arisen from questions in language learning, e.g., to what extent does parental input facilitate infant and child language acquisition? Early studies were concerned with the verbal content of the speech but more recent acoustically- and phonetically-based analyses that have shown that Infant-DS has at least three distinct components, as follows:

- Attentional measured by fundamental frequency (F0) level and variability [2]
- Affective measured by ratings of speech that has been low-pass filtered to remove segmental and semantic content while maintaining prosodic information [5]
- Linguistic/Didactic measured by area of a vowel triangle formed by joining the 1<sup>st</sup> and 2<sup>nd</sup> formant (F1, F2) values of [a], [i], and [u] vowels in F1/F2 vowel space [6,7].

Recently a range of special speech registers have been investigated with respect to these three components. Burnham [7] investigated both Infant-DS and Pet-DS (to dogs and cats) and found that both show heightened attentional and affective components compared to Adult-DS, but only Infant-DS showed vowel hyperarticulation, suggesting some didactic basis for Infant-DS. In a follow-up, Xu and colleagues [8] found evidence for vowel hyperarticulation in speech to parrots, supporting the notion that, if the audience is seen to be able to speak, then vowel hyperarticulation occurs.

In another series of studies the auditory input to the infant has been impoverished in order to simulate a hearing impairment. This is done in a double video set-up in which the mother is visible but her voice is modified either by lowering the amplitude [9,10] or simulating a hearing loss [11]. Under such simulated hearing loss conditions, mothers maintain the usual heightened pitch characteristics of Infant-DS but fail to hyperarticulate vowels. This suggests that for the didactic component of speech to be evident specific feedback from the infant is essential and that this feedback depends upon clear speech from the mother.

Finally, Uther et al. [12] have shown that, in contrast to the findings for Infant-DS and Pet-DS, Foreigner-DS is devoid of heightened pitch characteristics or heightened positive affect. Nevertheless, there is vowel hyperarticulation to foreigners compared with same-language adults, suggesting that vowel hyperarticulation is a didactic device *and* that the three speech components, attentional, affective and didactic, are separable and distinct. The results of these studies are summarised in the first 5 rows of Table 1.

Table 1: Summary of special speech register studies for the attentional, affective, and didactic components of speech. The number of ticks ( $\checkmark$ ) and crosses (x) indicates the strength of each component for each speech register, and TBD stands for, 'To Be Determined'.

Component	Attention (F0)	Affective (Ratings)	Didactic (V.HyperA)
Infants	$\checkmark\checkmark$	$\sqrt{}$	$\checkmark\checkmark$
Infants (SimH'gLoss)	$\checkmark\checkmark$	$\checkmark\checkmark$	XXX
Pets (~Vocal)	$\checkmark\checkmark$	$\checkmark$	XXX
Pets (Vocal)	$\checkmark\checkmark$	$\checkmark$	$\checkmark$
Foreigners	XX	xx (-ve)	$\checkmark\checkmark$
Computers	TBD	TBD	TBD

# 1.2. Speech in Human-Computer Interaction

Research on speech to computers has been driven by a different set of imperatives, most notably effective human $\leftrightarrow$ computer interaction in practical or industrial userdirected applications. One solution to this problem is to design user interfaces so to constrain the human input to the computer and reduce possible errors at the ASR (Automatic Speech Recognition), natural language processing, and dialogue processing levels [13,14,15]. This is a worthwhile enterprise that promises improvements in effective HCI. However, another way of approaching speech in HCI is to aim for conditions in which the human is unconstrained, i.e., to make HCI as natural and as similar to human-human interaction (HHI) as possible, i.e., as close as possible to Human-DS.

One of the essential components of such a naturalistic goal is to determine whether ASR systems can recognise human speech to computers, and what happens in response to errors when the ASR system (i) fails to recognise (rejection), (ii) makes a false positive (insertion), or (iii) replaces input with an erroneous word (substitution). Such errors do not occur as frequently in Human-DS. When they occur in Computer-DS in HCI, the human usually makes repairs to try to have the computer understand them. A number of studies have investigated the types of repairs that are made under such conditions by manipulating the incidence of computer "errors" a human experiences when interacting with the computer [16,17,18,19]. For example, Oviatt, et al. (1998) [16] investigated speech modifications under both low and high computer error rates, and found that repairs included increased duration of utterances and segments, increased incidence and duration of pauses, decreased rate of speech (see also Stent et al. [17]), reduction of mean F0 in high computer error rate conditions, little change in F0 range (except for females in the high computer error rate condition), and no amplitude changes. Similar results have been found with children's repairs, with the addition of increased amplitude [18].

Phonological analysis has also revealed pre- to post-error repairs: Oviatt et al. found that human speech repairs involved more deliberate and well-specified speech including fewer disfluencies, and fewer reduced forms, e.g., 'fordy'  $\rightarrow$  'forty', 'tweny'  $\rightarrow$  'twenty', [16]; and Stent et al. found similar flap  $\rightarrow$  released forms and reduced  $\rightarrow$  full vowels in repairs [17].

The hyperarticulation in speech repairs is seen to fall along a continuum – Stent et al. [17] note that speakers return to their pre-error speaking style 4–7 utterances after misrecognition and both Stent et al. and Oviatt et al. note that there are similar but gradually-accumulating features of repairs between low and high computer error rates. Oviatt et al. [19] has formalised this in the Computer-elicited Hyperarticulate Adaptation Model (CHAM). In this two-stage model, Stage I repairs (at low error rates) involve solely limited increases in durational characteristics; then in Stage II (at high error rates) repairs involve more extended durational changes and changes in articulatory and F0 aspects of speech.

These studies have been conducted in a different tradition and using different dependent variables to those used in the Infantand Pet- and Foreigner-DS studies. This is reflected in the last row of Table 1, in which entries for the attentional, affective and didactic components of this special speech register have been assigned a 'To Be Determined' value. The purpose of this study is to go some way towards filling in these cells.

In this study durational and F0 characteristics of repairs are investigated, but instead of measuring articulatory repairs by lack of reductions etc. [16,17], the Infant-, Pet, and Foreigner-DS vowel triangle area method is used to measure hyperarticulation. In previous HCI studies hyperarticulation is associated with Stage II (CHAM) functioning in which there is a high, 20% [16], 33% [17], rather than a low 6.5% [16], 8.3% [17] computer error rate (where the error rate refers to the percentage of sentences in which there was an "error". In this study, a computer error rate of 33% was used in order to optimise the possibility that vowel hyperarticulation would occur in speech repairs.

This study differs from previous HCI studies in two ways: first, in addition to the HCI condition, an HHI condition was included in which the user spoke to another human; and second in concert with Infant-DS-type studies but not Computer-DS-type studies, both the HCI and HHI presentations were auditory-visual – in HCI users spoke to an avatar, and in HHI conditions users spoke to another human with all other conditions kept constant.

It was expected that there should be higher mean F0, greater pitch range, longer vowel durations, and greater vowel hyperarticulation as a function of both manipulated factors, i.e., both (i) after than before repair and (ii) in Computer-DS than in Human-DS condition. There were no expectations about whether there would be any interaction between these two factors.

# 2. Method

## 2.1. Participants

Twenty-four Introductory Psychology University of Western Sydney undergraduates (12 women and 12 men Mean = 23.74 years) participated and received course credit.

## 2.2. Design

A 2x2 (speech register (Human-DS/Computer-DS) x Time (Before/After computer error) within-subjects design was used with 4 dependent variables, vowel triangle area, vowel duration, mean F0, and F0 range. Counterbalancing controlled for order and stimulus materials.



Figure 1: (a) Participant's view of the Thinking Head (or human in the other condition) display (b) Experimenter's control room set-up for the Wizard of Oz.

## 2.3. Apparatus

A 'Wizard of Oz' paradigm was used employing Double Video equipment [9,10,11] and two test rooms. The participant sat in one, facing a monitor on which they could see and interact with a talking head avatar or a human (depending on the condition) both located in the second room (see Figure 1a) The experimenter drove the avatar using a menu-based system to present, via the avatar, oral questions, acknowledgements and computer "errors" (see Figure 1b). In the Human-DS condition, the participant saw the experimenter on their screen. The experimenter followed a script the same as that for the avatar except for the items (counterbalanced across participants). The avatar was the Head-0 Thinking Head, an interactive embodied conversational agent (ECA) that nods, blinks, and responds to human input based on a Chatbot engine via AV integrated speech using Festival Speech Synthesis with a male voice speaking in a British accent [20]. For further Thinking Head details [see 21, 22].

#### 2.4. Procedure

The Stent et al. [17] procedure was adapted for use here. Prior to the trials starting, participants were provided with a list of names of protagonists with the suburb in which they lived, and items each would bring to a bake sale, and to a garage sale. There were then 3 question types based on these (from the avatar or the human depending on condition), in which participants were required to answer in a prescribed format, e.g.,

**Q:** What is Barry bringing to the garage sale? **A:** Barry is bringing a 'dirty deeds' DVD to the garage sale. **Q:** Did you say Barry is bringing a 'dirty deeds' DVD to the garage sale?

A: Yes, Barry is bringing a 'dirty deeds' DVD to the garage sale.

On Error-Repair trials the human or computer made substitution and rejection errors. Substitution errors (which account for over 90% of recognition errors [23]) were used to investigate vowel hyperarticulation, and included errors on the 3 corner vowels i/i, u/i, a/i, e.g.,

**Q:** Did you say Barry is bringing a 'dry deeds' DVD to the garage sale?

A: No, Barry is bringing a 'dirty deeds' DVD to the garage sale.

Rejection errors were included to examine global repairs and in these the participant was simply asked to repeat the answer.

There were 72 questions, 36 in each register, separated into 3 blocks of 12. In each block there were 3 Substitution-Repair trials (1 for each corner vowel, /i,u,a/, 3 for each vowel per condition), and 1 global Rejection-Repair trial. So the vowel-specific substitution computer error rate was 25% of the sentences presented and a global computer error rate, 8.3% of the sentences presented, an overall error rate of 33%. Global errors were included to provide some variation, and only data from the substitution error trials are analysed here as it is specifically vowel hyperarticulation that is of interest.

#### 3. Results

Audio recordings of the target words from Audacity V1.3.7 were exported as a mono .wav files at 44Khz 16bits. The corner vowels in these target words were segmented manually via PRAAT V 5.1.12, and formants and vowel durations extracted. Files with undefined formant values due to mistracked formants were deleted, leaving 845 files for analysis across the 4 conditions. F1 and F2 values for the vowels in each condition were averaged allowing four triangles to be plotted in F1/F2 space. The data were converted to the perceptual Mels scale and vowel triangle areas for each participant in each of their four conditions were used for statistical analysis. Mels was used as the measure as it is the intended effect of the speech on the audience of importance here, so it is the *perceptual* rather than the acoustic aspects of the speech that are important to analyse. Moreover, this is the scale that has been used as the standard in pioneering studies concerned with Infant-DS [6]. For F0 mean and F0 range, the entire sentences featuring the target words were used to retain intact prosodic information, and mean and range F0 extracted via PRAAT. Pitch range was converted from absolute Hertz values to ratio pitch values using the semitone scale. When strictly applied, this transformation should be conducted on all measures of fundamental frequency. However, studies of Infant-DS tend to use semitones for range and Hertz for other measures [1]. Participants with insufficient data for phonetic analyses were excluded from the statistical analyses. On this basis, 4 sets of data were excluded from the vowel duration, and 4 sets from mean and range F0. The results were analysed using standard analysis of variance procedures. For each of the four dependent variables (vowel triangle area, vowel duration, mean F0 and range F0) 2 speech registers (HCI/HHI) x 2 conditions (before/after) analyses of variance (ANOVAs) with repeated measures on both factors were conducted.

#### 3.1. Vowel Triangles

Vowel triangle areas are shown in Figure 2. ANOVA revealed that Computer-DS had larger vowel triangle areas than Human-DS, F(1,23) = 11.10, p = .003, partial  $\eta 2 = .33$ , and speech after larger areas than that before correction, F(1,23) = 4.70, p = 0.04, partial  $\eta 2 = .17$ ; but no significant interaction, F(1,23) = .02, p = 0.89, partial  $\eta 2 = .009$ .



Figure 2: Vowel triangle areas for speech to Humans (HDS) and Computers (CDS) before (BF) and after (AF) correction.

#### 3.2. Vowel Duration

Vowel durations are shown in Table 2. Computer-DS speech had longer vowel durations than Human-DS, F (1, 20) = 5.62, p = .03, partial  $\eta 2 = .24$  and speech after correction longer than speech before correction, F (1, 20) = 15.824, p > .0, partial  $\eta 2 = .01$ . There was no significant speech register x correction interaction F (1, 20) = .38, p = .55, partial  $\eta 2$ = .05

Table 2: *Means*(standard errors) for vowel duration, F0 mean & range of human- directed speech (HDS) & computerdirected speech (HDS) before & after correction

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	Vowel Duration	F0 Mean	F0 Range	
	(secs)	(Hz)	(semitones)	
HDS Before	.151.008	217.275 12.582	15.607 1.040	
HDS After	.177.012	219.693 11.365	17.174 0.947	
CDS Before	.140.008	216.960 10.629	15.929 1.214	
CDS After	.161 .013	228.574 12.002	16.909 0.833	

#### 3.3. Mean and Range F0

Mean and range F0 values are shown in Table 2. For speech register there was no significant Computer-DS vs. Human-DS difference for either measure ( $F_{MeanF0}$  (1,20) = 0.19, p = .67, partial  $\eta^2 = .0$ ; ( $F_{RangeF0}$  (1,20) = 2.05, p = .17, partial  $\eta^2 = .09$ ). For speech correction there was significantly greater range F0 after correction ( $F_{RangeF0}$  (1,20) = 6.25, p = .02,

partial  $\eta 2 = .24$ ) but no differences for mean F0 ( $F_{MeanF0}(1,20)$ = 2.05, p = .17, partial  $\eta 2 = .09$ ). There were no significant interactions ( $F_{MeanF0}(1,20) = 1.17$ , p = .29, partial  $\eta 2 = .05$ ); ( $F_{RameF0}(1,20) = .27$ , p = .61, partial  $\eta 2 < .01$ ).

# 4. Discussion

## 4.1. Speech Before and After Correction

Computer correction affected subsequent speech repairs. In accord with previous findings, after correction users' repairs showed hyperarticulated vowels, longer-duration vowels and an increase in range F0. However, in contrast to previous findings there were no effects of computer correction on mean F0 [16,17]. In Oviatt's model, CHAM [19], Stage I of hyperarticulation involves only durational elongations [16]. Then in Stage II, which is only activated under high computer error rate conditions, further durational elongation plus articulatory and F0 aspects of hyperarticulation are evident. The fact that after correction there was extended duration. increased F0 range (although not for mean F0) and vowel hyperarticulation suggest that the relatively high computer error rate here (33% overall and 25% for substitution errors, which are similar to the high rates in other studies -20% [16] and 33% [17]), successfully took participants into this second stage. These results are encouraging, as they suggest comparability with other studies using the computer correction paradigm. They also show the generality of the effect of computer correction; in previous studies articulatory hyperarticulation after correction involved fewer disfluencies, and fewer reduced forms, whereas here articulatory hyperarticulation involved the measure most often used in the special speech register studies, extended vowel triangle areas.

#### 4.2. Talking to Computers and Humans

The most interesting finding of this study was that there was vowel hyperarticulation across the board for Computer-DS compared to Human-DS. So a tick or two can be placed in the bottom right hand cell of Table 1 - vowel hyperarticulation for Computer-DS. This was accompanied by longer vowel duration in Computer- than Human-DS especially after correction, but no greater F0 (attentional) characteristics, so crosses can be placed in the bottom left hand cell of Table 1. (Studies of the affective aspects of Computer-DS are yet to be conducted.) These results show that participants appear to treat the avatar as an entity requiring special treatment and in this respect there are two possibilities: the avatar may be treated like an infant or a foreigner (see Table 1). As there was no elevation of F0 characteristics in Computer-DS, it appears that the latter is the case - rather than being addressed with elevated mean F0 and greater pitch modulation as would a "cute" baby, the avatar was rather treated as an adult who could not speak or hear properly (akin to a foreigner). Accordingly, longer duration and hyperarticulated vowels, but not elevated mean F0 or pitch range, were employed.

Ratings of affect were not included in this study but additional ratings studies and analyses are currently underway. The results of such studies will be of interest in filling in the final cell in Table 1. In addition, further studies in which the characteristics of the avatar (e.g., degree of smiling, interactivity, etc.) are varied would be useful, as would a condition in which the degree of the 'foreignness' of the avatar's speech was systematically varied in order to evaluate the relative contribution of Foreigner-DS and Computer-DS to the hyperticulation found here. Finally, a control condition in which there is auditory-only exposure to the avatar's (and the human's) voice would be of use in order to determine the locus and origin of modifications in Computer-DS.

## 5. Conclusions

The results reinforce previous findings by showing that hyperarticulation occurs in speech repairs after computer correction. This hyperarticulation involves vowel lengthening and increases in range (but not mean) F0, and now an additional variable, vowel hyperarticulation (as measured by extended vowel space), that has not been included in previous studies.

Most importantly, the results show for the first time, that when speaking with a somewhat lifelike avatar compared with speaking to another human adult, users lengthen and hyperarticulate their vowels just as they would when speaking to a foreigner. Whether such adjustments are useful for particular ASR systems is yet to be determined and so studies running the Computer-DS and the Human-DS speech through an ASR system such as Sphinx or Nuance would be informative.

The human-computer speech comparison method used here brings together special speech register and HCI research and should prove to be a useful paradigm for further fine-grained studies. In particular, investigation of avatar characteristics that promote hyperarticulated speech will be of great importance not only for HCI, but also for determining the critical features of the audience that promote hyperarticulation to infants, parrots, foreigners and other recipients.

## 6. Acknowledgements

 This research was supported by an ARC/NH&MRC Thinking Systems grant to Burnham et al. (TS0669874).
We appreciate the input from Dr Christian Kroos in writing the Wizard of Oz software, and Mr Steve Fazio in setting up the Wizard of Oz and Double Video hardware.

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